



HW

PTO/SB/21 (09-04)

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Total Number of Pages in This Submission

8

Application Number

10/716,168

Filing Date

11/18/2003

First Named Inventor

Zapp et al.

Art Unit

1655

Examiner Name

Tate

Attorney Docket Number

### ENCLOSURES

(Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation  
Change of Correspondence Address

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Terminal Disclaimer

☐

Request for Refund

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After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☐

Other Enclosure(s) (please identify  
below):

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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/David Henry/

Printed name

David Henry

Date

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

**IN RE APPLICATION OF:**

Zhao et al.

**SERIAL NO.** 10/716,168

**FILED:** 11/18/2003

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**EXAMINER:**

**GROUP ART UNIT:**

**TITLE:** HIGH YIELD PROCESS FOR PRODUCING THEAFLAVINS AND  
PRODUCTS OF SUCH PROCESS

**MAIL STOP NON-FEE AMENDMENT  
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**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

**Certification Under 37 C.F.R. §1.97**

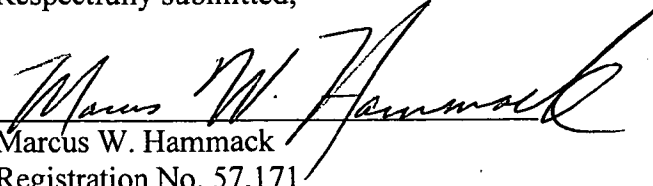
This information is being submitted after three months of the filing date of the present application, but before any known mailing of a first Office Action on the merits.

Accordingly, no fee is believed necessary to render this Information Disclosure Statement timely under 37 C.R.R. §1.97. Nevertheless, if, unknown to Applicant, a first office action has issued and a fee is due, please charge deposit account **No. 50-0894** in the amount required to render this filing complete and timely to cover the fee under 37 C.F.R. §1.17(p).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached forms with initials or other appropriate marks.

**Any and all fees required in connection with this filing are to be charged to deposit account No. 50-0894.**

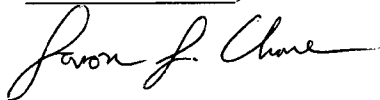
Respectfully submitted,



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